

IFW
Attorney Docket No. 5308-248

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Axler et al.

Serial No.: 10/617,843

Filed: July 11, 2003

For: NITRIDE-BASED TRANSISTORS AND METHODS OF FABRICATION THEREOF
USING NON-ETCHED CONTACT RECESSES

Group Art Unit: 2811

Examiner: To Be Assigned

Confirmation No.: 7985

Date: May 3, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

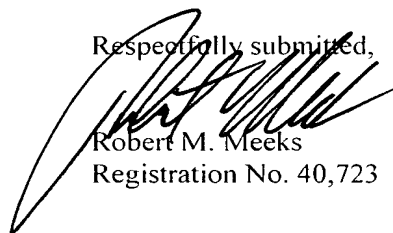
FOURTH INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP. No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

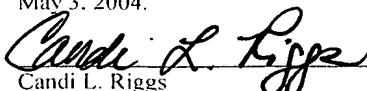


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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 3, 2004.


Candi L. Riggs

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office				Attorney Docket Number 5308-248		Serial No. 10/617,843	
<div style="display: flex; align-items: center;"> <div style="border: 2px solid black; border-radius: 50%; padding: 10px; text-align: center; margin-right: 10px;"> OIP/E MAY 05 2004 PATENT & TRADEMARK OFFICE </div> <div> LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary) </div> </div>				Applicants: Adam William Saxler et al.			
				Filing Date: July 11, 2003		Group 2811	
U. S. PATENTS & PATENT APPLICATION PUBLICATIONS							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation Yes No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	1	Heikman, Sten J., <i>MOCVD Growth Technologies for Applications in AlGaIn/GaN High Electron Mobility Transistors</i> , Dissertation, University of California – Santa Barbara, September 2002, 190 pages					

 EXAMINER
 *EXAMINER

DATE CONSIDERED

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.